## Rose universal curve of energy vs volume for first-principles calculation

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In the paper "Relativistic effects on ground state properties of 4d and 5d transition metals" by C. Elsässer, N Takeuchi, K M Ho, C T Chan, P Braun, M Fähnle. J. Phys.: Condens. Matter (1990) vol. 2 pp. 4371-4394, they showed "Rose universal equation for energy vs volume curve" that was reported in "Universal Binding Energy Curves for Metals and Bimetallic Interfaces" by J H Rose, J Ferrante, J R Smith, Phys. Rev. Lett. (1981) vol. 47 pp. 675-678. In the Christian's paper the equation was mis-typed  $(V_0^3 \text{ should be } V_0^2)$ .

The equation have three parameters that are used to fit the energy E vs volume V relation calculated by first-principles calculations. E is defined by -(cohesive energy) and < 0. Cohesive energy is defined by the energy required to form separated neutral atoms in the ground electronic state from the solid at 0 K 1 atm. The cohesive energies of Li and Si are 1.63 and 4.63 eV / atom, respectively.

$$E(V) = E_0(1+\sigma)e^{-\sigma}, \qquad \sigma = \frac{s-s_0}{\lambda}, \qquad \lambda = \frac{1}{(36\pi V_0^2)^{1/3}}\sqrt{\frac{-E_0V_0}{B_0}}$$
(1)

$$V = \frac{4\pi}{3}s^3, \quad V_0 = \frac{4\pi}{3}s_0^3, \quad s = \left(\frac{3V}{4\pi}\right)^{1/3}, \ s_0 = \left(\frac{3V_0}{4\pi}\right)^{1/3}$$
(2)

$$B_0 = V_0 \left. \frac{dE^2}{dV^2} \right|_{V_0} \tag{3}$$

Here  $V_0$  is the volume where the energy E has minimum  $E_0 < 0$ , and the  $B_0$  is the bulk modulus. If we calculate the first and second derivative,

$$\frac{dE}{dV} = \frac{dE}{d\sigma}\frac{d\sigma}{dV} = E_0[e^{-\sigma} - (1+\sigma)e^{-\sigma}]\frac{d\sigma}{dV} = -E_0\sigma e^{-\sigma}\frac{d\sigma}{dV}$$
(4)

$$\sigma(V_0) = 0, \quad \left. \frac{dE}{dV} \right|_{V_0} = 0 \tag{5}$$

$$\frac{d\sigma}{dV} = \frac{d\sigma}{ds}\frac{ds}{dV} = \frac{1}{\lambda}\frac{1}{3}\left(\frac{3V}{4\pi}\right)^{-2/3}\frac{3}{4\pi} = \frac{1}{4\pi\lambda}\left(\frac{3V}{4\pi}\right)^{-2/3} \tag{6}$$

$$\frac{dE^2}{dV^2} = \frac{dE'}{dV} = \frac{d}{dV} \left[ -E_0 \sigma e^{-\sigma} \frac{1}{4\pi\lambda} \left( \frac{3V}{4\pi} \right)^{-2/3} \right] = \frac{-E_0}{4\pi\lambda} \frac{d}{dV} \left[ \sigma e^{-\sigma} \left( \frac{3V}{4\pi} \right)^{-2/3} \right] \\ = \frac{-E_0}{4\pi\lambda} \left[ \frac{d\sigma}{dV} e^{-\sigma} \left( \frac{3V}{4\pi} \right)^{-2/3} - \sigma e^{-\sigma} \frac{d\sigma}{dV} \left( \frac{3V}{4\pi} \right)^{-2/3} + \sigma e^{-\sigma} \frac{-2}{3} \left( \frac{3V}{4\pi} \right)^{-5/3} \frac{3}{4\pi} \right]$$
(7)

$$\frac{dE^2}{dV^2}\Big|_{V_0} = \frac{-E_0}{4\pi\lambda} \frac{1}{4\pi\lambda} \left(\frac{3V_0}{4\pi}\right)^{-2/3} e^0 \left(\frac{3V}{4\pi}\right)^{-2/3} = \frac{-E_0}{(4\pi)^2} (36\pi V_0^2)^{2/3} \frac{B_0}{-E_0 V_0} \left(\frac{3V_0}{4\pi}\right)^{-4/3} \\
= \frac{4^{2/3} 3^{4/3} \pi^{2/3} V_0^{4/3}}{4^2 \pi^2} \frac{B_0}{V_0} \frac{4^{4/3} \pi^{4/3}}{3^{4/3} V_0^{4/3}} = \frac{B_0}{V_0}$$
(8)

For practical use, we can write

$$E(V) = E_0 \left[ 1 + \frac{\left(\frac{3V}{4\pi}\right)^{1/3} - \left(\frac{3V_0}{4\pi}\right)^{1/3}}{\frac{1}{(36\pi V_0^2)^{1/3}}\sqrt{\frac{-E_0V_0}{B_0}}} \right] \exp \left[ -\frac{\left(\frac{3V}{4\pi}\right)^{1/3} - \left(\frac{3V_0}{4\pi}\right)^{1/3}}{\frac{1}{(36\pi V_0^2)^{1/3}}\sqrt{\frac{-E_0V_0}{B_0}}} \right]$$
(9)

$$= E_0 \left[ 1 + 3V_0^{2/3} \sqrt{B_0} \frac{V^{1/3} - V_0^{1/3}}{\sqrt{-E_0 V_0}} \right] \exp \left[ -3V_0^{2/3} \sqrt{B_0} \frac{V^{1/3} - V_0^{1/3}}{\sqrt{-E_0 V_0}} \right]$$
(10)

where we assume  $E_0 < 0$ . If we use eV for E and Å<sup>3</sup> for V, the unit for  $B_0$  is given by

$$\mathring{A}^{3} \frac{\text{eV}}{\mathring{A}^{6}} = \frac{\text{eV}}{\mathring{A}^{3}} = \frac{1.60217487 \times 10^{-19} \text{ J}}{10^{-30} \text{ m}^{3}} = 1.60217487 \times 10^{11} \frac{\text{J}}{\text{m}^{3}} = 160.317487 \text{G} \frac{\text{Nm}}{\text{m}^{3}} = 160.317487 \text{ GPa}$$

$$(11)$$

For the use of Igor fitting,  $E_0 \to a, V_0 \to v, B_0 \to b, V \to x$ , you can copy&paste the following text for the fit-function.

 $f(x) = a*(1.0e0+3.0e0*v^{(2.0e0/3.0e0)*b^{0.5e0}*(x^{(1.0e0/3.0e0)-v^{(1.0e0/3.0e0)})/(-a*v)^{0.5e0}*} exp(-3.0e0*v^{(2.0e0/3.0e0)*b^{0.5e0}*(x^{(1.0e0/3.0e0)-v^{(1.0e0/3.0e0)})/(-a*v)^{0.5e0}})$ 

The lattice constants of Li(bcc, 78 K) and Si(diamond) are 3.491 and 5.430 Å, respectively. Then,  $V_0$ (Li) = 21.3 Å<sup>3</sup> and  $V_0$ (Si) = 20.0 Å<sup>3</sup> The room-temperature bulk modulii  $B_0$  of Li and Si are 0.116 ×10<sup>11</sup> and 0.988 ×10<sup>11</sup> Pa, respectively. (11.6 GPa and 98.8 GPa)